

Electronic Acknowledgement Receipt

EFS ID:	7929889
Application Number:	10767546
International Application Number:	
Confirmation Number:	6583
Title of Invention:	System and method for routing a trading order
First Named Inventor/Applicant Name:	Thomas J. Daley
Customer Number:	63710
Filer:	Glen Farbanish/Laura Alto
Filer Authorized By:	Glen Farbanish
Attorney Docket Number:	04-6163
Receipt Date:	30-JUN-2010
Filing Date:	29-JAN-2004
Time Stamp:	16:44:00
Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	3994
Deposit Account	503938
Authorized User	

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	04-6163_100630_IDS.pdf	43201 81577ada9365bda0c686ccc6b14d94d51e7 9f890	no	5

Warnings:

Information:

2	Foreign Reference	JP_2002-203112.pdf	1881679 ecbbeaf72f16b3a9b36796caa79de23f6e0c 4098	no	26
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Warnings:

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3	Foreign Reference	JP_2003-058733.pdf	1231924 9337b5be3fdddf705280e7f36a26b5e1c0e5 a1d3	no	20
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Warnings:

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4	Foreign Reference	JP_2003-058741.pdf	729591 9199dc865c1916feb03cc89b186da7d8fc89 4dcf	no	11
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Warnings:

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5	Foreign Reference	JP_2002-183446.pdf	2564964 3ca5dc0b07a07da52da9fbeb85c6314c372b 6dbe9	no	37
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Warnings:

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6	Foreign Reference	JP_2003-345987.pdf	1572339 19692db6a0cb85d428e43af5c994cd3886c 128ad	no	19
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Warnings:

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7	NPL Documents	AU_2006278382_Exam_Report _07-09-2009.pdf	167281 e3e512828a15e09eaed164bdbd566cfacbc 33954	no	3
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Warnings:

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8	NPL Documents	11-499833_NOA_03-12-2010. pdf	386360 3c3f32ea1d17694bafe0871077598cd08a1e 1dad	no	9
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9	NPL Documents	New_AZX_Session_10-1997.pdf	36935 3fac53cb2dba520ca22962ed9709d31ac96f 7476	no	1
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10	NPL Documents	Harris_New_Trading_Landscape_05-2002.pdf	746214 aa0f987582ae177f0f787c819f5d34cf9a9e9 e85	no	13
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11	NPL Documents	Scientific_Technical_Info_Center_Search_Report_07-09-2009.pdf	4288663 f5f74465e4c3517c1b82fc6e650d2a77d695 86e6	no	88
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12	NPL Documents	PCT-US05-03164_IPER_01-09-2007.pdf	165867 67ba3cc45e35ab449b31a13a3ffdd102747 1fe1d	no	4
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13	NPL Documents	JP_2006-551589_OA_04-20-2010.pdf	162212 f60558a46f9cff0b548e91ade13ca8fce91cfa 74	no	6
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14	NPL Documents	PCT-US05-03166_07-31-2006.pdf	184553 645499b6989b8da00c7c506b4655dca9d2 8c16ca	no	4
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16	NPL Documents	PCT-US05-03163_IPER_01-23-2007.pdf	174307 bab3ea10fff2517fbf54b8536ab8472109eb ab2c	no	5
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17	NPL Documents	JP_2006551588_OA_04-27-2010.pdf	191748 2d6bd83959a0fa28cec6de0b4215dfc9a21 e2b6f	no	8

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18	NPL Documents	PCT-US05-03168_IPER_02-13-2007.pdf	156881 890e3255a275a09d844bf28023eb1f11d5e 8e408	no	4
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19	NPL Documents	JP_2006-551591_OA_04-20-2010.pdf	156775 cb8934d8a2c0928854079a1b6ae5ef84d9a 71fae	no	6
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21	NPL Documents	EP_05712558_Supp_Search_Report_12-05-2008.pdf	75621 54948d0f8bb959188080a6125cf898cb0fe4 5cea	no	2
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22	NPL Documents	JP_2006-551587_OA_04-20-2010.pdf	152907 0749eff873b58ba667a0f391f525f5d8002b 184b	no	6
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23	NPL Documents	PCT-US06-30638_IPER_02-05-2008.pdf	258477 4d1c76bbcb4b0e4e0b02fb7246fef8e1c514 e089	no	5
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25	NPL Documents	Luskin_Options_and_Futures.pdf	359643 8e2cb75e2f288b718151b76b7185dfe1390 49054	no	5
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26	NPL Documents	Notice_from_EPO_Concerning_Business_Methods_10-01-2007.pdf	43094 a3751b0396d10c2d05ad3450dfe6e617e50 f0e56	no	2

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27	Information Disclosure Statement (IDS) Filed (SB/08)	04-6163_100630_1449.pdf	39451 3bc7b559ec72c97a6cd4d54ee5d0a5020b 93c01	no	3
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28	NPL Documents	11-499496_NOA_05-26-2010.pdf	121699 1f261425bcb52943d750ce4db1a81252337 00f61	no	4
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29	NPL Documents	10-766965_Appeal_Dismissal_06-07-2010.pdf	51466 474f49c14e667bcbdfaa491245702f795add 065a	no	3
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33	NPL Documents	10-767588_NOA_06-25-2010.pdf	320093 dab158f14ab318b6021234c9c9656fc6a64f 066f	no	7
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34	Fee Worksheet (PTO-875)	fee-info.pdf	29681 2fea2a2cc5ef51a033783b0af85b091932b9f a1d	no	2
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Total Files Size (in bytes):				17680522	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.